

Phoenix[®] 8.2

Known Issues

May 2023

May 2023: Added INT-4276. August 2022: Updated description QC 17985 November 2021: Removed QC 18110 and QC 18111 (fixed in 8.2.2) October 2021: Added QC 18110 and QC 18111 January 2021: Added PHX-8007, 8008, 8009, and 8011 April 2020: Added QC 17982, QC 17983, and QC 17985 January 2020: Initial version of separate Known Issues document July 2019: Phoenix 8.2 release

Certara® Contact Information	2
Known Issues	2
Data Tools and Plots	2
Framework	4
IVIVC	6
Phoenix Licensing Wizard	
NLME	
Validation Suite	
WinNonlin	14

Certara® Contact Information



Certara[®] Contact Information

Technical support

Consult the software documentation to address questions. If further assistance is needed, contact Certara Support through e-mail or our support portal.

 E-mail:
 support@certara.com

 Web:
 https://certara.my.site.com/support/s

For the most efficient service, e-mail a complete description of the problem, including copies of the input data.

User forum.

Get tips and discuss Certara software with other users at: <u>https://support.certara.com/forums</u>

Known Issues

Data Tools and Plots

Column Transformation

The custom column transformation Adjacent option is not working (QC 11728): For custom transformations, the option to place the new column Adjacent to a column being used in the transformation is not working and the new column is always appended at the end of the worksheet.

Data

The Unit Builder does not correctly convert units involving the prefix deca [dk] (QC 12027): When the prefix deca [dk] is used in unit conversion, the results are incorrect. The conversion process is using 1.E+2 instead of 1.E+1. In addition, the abbreviation of [dk] is incorrect and should be [da].

Two unique numbers that are identical out to the 14th significant digit or greater are treated as the same number (QC 12034, QC 13582): Computer accuracy is limited to about 14 significant digits. This can cause two unique numbers with more than 14 significant digits to be treated as the same number. As an example, using the NCA Slopes Selector when the time data has more than 14 significant digits, and two points differ at the 14th digit (e.g., 240.33333333333333333333333333333), one point appears selected for the time range but it is not used in the Slopes Select. Furthermore, the point representing a number, such as 240.333333333333, is selectable in the Slopes Selector and can show as the End Time for a



user-specified range, but will not be used in the slope calculation, causing Lambda_Z_Lower and Lambda_Z_Upper in the output to be off by 1e-14.

One workaround is to click on Slopes and paste the full number. Another possibility is, prior to NCA, to round the time data so it has 14 significant digits or fewer (use a custom transformation in the Data Wizard with a formula such as round(time,10) or roundsig(time,14)).

Numeric columns that contain more than 17 digits/characters are not displayed correctly (QC 12164): Very large integers lose precision due to rounding to significant digits. The workaround is to change the column type to Text.

Dataset is deleted when "Refresh from Source" is used after Edit in Excel with formulas saved (QC 15105): The workaround is to right-click the dataset in the Object Browser and select **Edit in Excel** again, make a change to the dataset (this is important) and then save the changes back to Phoenix, the worksheet will be restored.

Subject IDs with many digits get changed to G8 format, even if imported as text (QC 15528): Numeric subject IDs with many digits (e.g., 100010901) are changed by the worksheets to G8 format by default (e.g., 1.0001090E+08) even if they are imported as text. Using the G9 format retains the subject ID digits.

Data Wizard

A new "Selection (Exclude)" filter cannot be added after executing Data Wizard; Filter Selection worksheet is empty (QC 11771): In Data Wizard Filter steps, in order to create selection-based exclusions, check Retain intermediate results, or the selection worksheet may be blank when attempting to make the selections.

History worksheet contains the source worksheet history when using "Copy to Data Folder" from Data Wizard Results (QC 14255): The History sheet of a Data Wizard result worksheet that has been copied to the Data folder contains all of the history records from the source worksheet that was mapped into the Data Wizard.

Next Generation Plotting

Next Generation Plotting does not work after installation of the Integral plugin (PHX-8007): The workarounds for this issue are to uninstall the Integral plugin, or use the other plot objects.

Tables

HTML type tables do not use case-sensitive comparisons when determining to combine rows together in a table (QC 6540): The Table object does not use case-sensitive comparisons when sorting and grouping data.



Framework

History

When data is pasted into the * row of the data grid the history does not record the row information (QC 7696): In order for a row-paste operation to be entered into the history, the data to be copied must be selected by highlighting the individual cells, and pasted by selecting the destination row number. The data can be pasted by selecting the destination cells, but the history entry will not be created. Similarly, the data can be copied by selecting a row number, and then pasted, but there will be no history entry.

Moving contents of selected rows to another set of rows does not get correctly added as an event in the History tab (QC 12008): Audit events are not captured correctly when rows are dragged from one location to another in a worksheet.

JMS

JMS Merge fails with a project containing only one plot object (PHX-8008): If a project contains a single object that is a plot object, sending that object to JMS to run remotely and merging the results back to Phoenix generates an error loading project message. Clicking **OK** in the message results in an empty Object Browser. The workaround is to add a second object of any type to the workflow before sending it to JMS.

Licensing

User is not warned before closing and loses project modifications if framework license was lost and Phoenix left open (QC 8510): If a Named User Server license is disconnected while there are still unsaved projects open, then the user must ensure that a license has been retrieved before attempting to close Phoenix. If the user does close Phoenix without first reacquiring a license, there will be no ability to save, and all changes will be lost.

NONMEM

NONMEM TIME input required for Dose-effect model when not necessary for analysis (QC 10052): When doing analysis with NONMEM shell using Dose-Effect model, TIME is required as input field in data even though it is not used in analysis. The workaround is to add a dummy TIME column and map it.

NONMEM Input statements containing equal signs are not recognized (QC 10658): If the NONMEM input statement contains equal signs like \$INPUT ID TIME DV=DROP LNDV=DV MDV AMT RATE EVID DOSE, the Phoenix mappings do not recognize the drop option and will still present a DV variable in the mappings. In addition a statement like LNDV=DV will show up as LNDV DV.

The workaround is to modify the input statement in the NONMEM control file by manually removing any DROP variables (they will not be mapped and thus not used) and just providing the name of the variable that is needed. For example, the example above should be modified as \$INPUT ID TIME DV MDV AMT RATE EVID DOSE and the column LNDV mapped to DV.



NONMEM simulation script results in Scatterplot error (QC 12382/CRM 139546):

Phoenix code that gets added to the script results in an incorrect identification of a NONMEM comparison occurring, when the script is actually calling for a NONMEM simulation only. As a result, Phoenix is looking for a table from which to generate a scatterplot for the comparison. This table is not created by the script and the script never successfully executes.

Object Browser

Upstream Data Wizards should not be able to be deleted while executing Phoenix (QC 17819): An issue was reported where deleting an upstream Data Wizard while Phoenix was executing caused Phoenix to become unresponsive. Deleting objects while execution is going on is not a recommended procedure and should be avoided.

PKS

Saving a new version of a PKS scenario can fail if the URL to the PKS middle-tier is changed from the URL used when the scenario was saved (QC 15086): Loading a PKS scenario and submitting the workflow to the JMS for processing can prevent the scenario from being saved if it was saved using the old PKS middle-tier URL and then loaded using the new URL. The workaround is to load the scenario, run it locally, and save it to the PKS again. The new URL is used to verify the existence of objects in PKS prior to the save.

Error getting latest version of out-of-date dependent objects loaded from other scenarios (QC 15362): If a scenario (A) has dependencies to ScenarioDatasets or ScenarioObjects from other scenarios, and those objects go out-of-date by creating a new version of the scenarios, then the scenario (A) will display the option to get the latest versions, but it fails when trying to get the latest versions. The workaround is to load the scenario and use **Refresh from Source** to get the latest version of the dependent objects.

Phoenix Code folder contains empty files after uploading to PKS (PHX-8011): This issue occurs if you are uploading projects to PKS that contain items in the Code folder (e.g., SAS scripts). The workaround is to install the Integral Plugin version 1.1.1.

PK Submit

Create Submission File option initially fails for SEND and STDM (PHX-8009): The first time the **Create Submission File** button is used, it may fail with a "Create Define File error." If the failure is encountered, the workaround is to press the **Create Submission File** button a second time.

PsN

PsN plug-in does not work with shortcuts to model files that contain spaces in path (QC 11880): For PsN Shell objects, if the user selects to "Bring Model Files In as Shortcuts" the path to the model file cannot contain any spaces, e.g., C:\Program Files.



R

Known Issues

R object does not re-execute a prior object in the workflow if needed (QC 12985): Other Phoenix objects in a workflow allow the user to click and execute the last object in a chain and it will re-run any necessary objects earlier in the workflow. With the R object, the user must execute the earlier objects manually prior to executing the R object.

Reporter

In Phoenix 8.2, subject tags in captions are not working correctly for NCA Plots (QC 17946): The #formulation# and #subject# tags do not appear separately for each subject and formulation as they did in Phoenix 8.1. In Phoenix 8.2, all subjects are shown in the caption rather than the particular subject for that page of the plot.

User Interface

Worksheet does not allow input after dragging down (QC 10725): When using Copy Down in grids, sometimes the cells become read-only. To enter data, try double-clicking in the cell or selecting the cell and then entering the data in the field that displays the data for the cell at the top of the grid.

Resizing of Phoenix window and fonts on Windows 10, can lead to the inability to select some buttons or tabs (QC 16904): Try changing the size of text, apps, and other items to 100% (right-click on the Desktop and choose the Display menu item), then restart your computer to apply changes. (Right-click on the Desktop and choose the Display menu item to make changes, then restart your computer to apply changes.)

To set the scaling only for the Phoenix application on a high DPI display, browse to the Phoenix installation folder (by default, "C:\Program Files (x86)\Certara\Phoenix\application"), right-click on "Phoenix.exe", select "Properties" menu item, and choose "Compatibility" tab. For Windows 7, select [x] on "Disable display scaling on high DPI settings" option. For Windows 8 or Windows 10, select [x] on "Override high DPI scaling behavior. Scaling performed by: "option, and then select "Application" or "System (Enhanced)" in the drop-down menu. Then restart your computer to apply changes.

Running Phoenix with Windows Text Size (DPI Scaling) greater than 100% results in overlapping UI (QC 17302): This issue is caused by Phoenix not being DPI aware and is most frequently seen on laptops. If you encounter overlapping controls in Phoenix, try reducing the scaling to 100%.

IVIVC

IVIVC hangs on prediction step (QC 17919): There have been reports of the IVIVC Workflow freezing in Phoenix 8.2 when using the **Predict PK** button on the Prediction tab, caused by a deadlock in the code. The workaround is to use the green **Execute** button at the top of Phoenix instead.



Convolution

The Exponential Terms values disappear from copy/paste when input data set has a sort mapped (QC 8811): If the convolution input has a sort variable and the UIR source is internal, copy/pasting the convolution object will result in the UIR internal source being reset. The workaround is to publish the internal UIR source so that it is now external, and then copy/paste will just point to the UIR source.

No results will be shown for a subject if the source data set has any blank or text values (QC 10523): Convolution will not generate results for profiles that have any non-numeric Cumulative_Input values. The workaround is to filter out non-numeric values. This can be done be creating an x^n where n=1 transformation to create a new column that contains NULLs for non-numeric values. Then use a filter to filter out the non-numeric values.

Convolution fails execution when Time column is declared as type Text (QC 17732): If the input column used as the Time variable is declared as type **Text**, the Convolution tool may yield the erroneous error message, "Time data must be sorted in increasing order." The workaround is to change the type to **Numeric**.

Levy Plot

Word Export in Phoenix takes a much longer time as compared to WinNonlin and as a result, system becomes unresponsive (QC 9772): When using Word Export on Levy Plots and IVIVC Workflow objects, the list of output includes all internal objects, and the objects are not readily discernible. Word Export is not recommended for IVIVC and Levy Plots.

Settings are missing in Settings text output and History tab (QC 10628): Settings are not contained in the results; look at the Properties panel to see the settings.

Levy plot created even if units in Values column are different (QC 10652): Levy Plot does not prevent or warn the user if the units in the Values source columns do not match.

Levy plot results are incorrect if data has sort variables, but sort variables are not used (QC 10681): Duplicate time points for a given profile are not handled properly for Levy Plots. The workaround is to use descriptive statistics to average the profiles to ensure distinct unique time points per profile.

Problem using multiple sort variables and user-specified matched values (QC 10896): In a Levy Plot object, if sort variables and user-specified matched values are used, the following error is encountered if the sort variables contain missing or null values: Bad conversion from DBNULL to Boolean. The workaround is to fill in any missing or null values.

Levy plots can fail to execute if there is a blank in a Formulation column (QC 12370): Levy Plots do not support blank/empty cells in the Formulation column. It will prevent execution of the Levy Plot object.

Wagner-Nelson & Loo-Riegelman

No settings provided in Settings text output and therefore not in History (QC 10348): Some settings are missing in the output of Wagner-Nelson and Loo-Riegelman. To find the



settings, look on the Options tab, the Slopes source and the Parameters source (for Loo-Riegelman).

Workflow

Prediction Dose units do not match In Vivo Dose units when external dosing worksheet is used (QC 9809): If dosing units are specified by an external worksheet for In Vivo and the Prediction Dosing is an internal worksheet, the Prediction Dosing internal worksheet will not reflect the Dosing units of the External Dosing for In Vivo. The dosing unit in the prediction stage is assumed to be the same as the In Vivo stage.

Pred Conv Out plot - All plots are not on one page (QC 10245): For the IVIVC Convolution Output Plots, the plots are not grouped by Formulation when there is a sort variable. Each plot is displayed on a separate tab.

Executing IVIVC steps by buttons in Properties panel does not cause execution of source feeders (QC 10419): The workaround is to use the execute button on the IVIVC workflow object to ensure sources are executed prior to IVIVC workflow verification.

Settings missing in History tab description when workflow is executed (QC 10633): When the IVIVC object is executed, the settings are not recorded on the History tab; however, they can be found in the Settings text output files:

Correlation.Correlation.Settings	Validation.Conv.Settings
Prediction.Conv.Settings	Validation.Corr.Sim.Settings
Prediction.Corr.Sim.Settings	Validation.Observed.Baseline.Nca.Settings
Prediction.Diss.Settings	Validation.Predicted PK.Nca.Settings
Prediction.Observed.Baseline.Nca.Settings	Validation.Settings
Prediction.Predicted.Nca.Prediction.Settings	Vitro.Dissolution.Settings
Validation.Average.Vivo.Settings	Vivo.Deconvolution.Settings
	Vivo.UIR.Settings.

Executions of individual steps are not recorded on the History tab (QC 10637): If the IVIVC workflow is executed using the **Execute** button in the toolbar, the History tab will record this event; however, when individual steps are executed (Fit Dissolution, Validate Correlation, Predict PK, etc.), they are not recorded in the History tab.

Levy Plot: Formulation column in data set used even if not mapped (QC 10689): If the sources for Levy Plot have the column Formulation, and no columns are mapped to Formulation in the sources, the columns called Formulation will be used even though not mapped and the Levy Plot will fail if the formulations in the columns do not match.

Unable to complete Validation if UIR step previously failed due to bad mapping (QC 10832): If the UIR step previously failed due to incorrect column mappings (for example, the Sort and Values column were inadvertently switched), the Validate Correlation and Predict PK steps will continue to fail, even after the In Vivo Data mappings are corrected. The workaround is to make a copy of the In Vivo data set, pre-fix all of the column names with X (Time column is renamed XTime), then map this data set to the In Vivo Data setup and map



columns appropriately. The Validate Correlation and Predict PK steps will now execute successfully.

Prediction does not complete if Prediction Dissolution setup is completed before Prediction Data setup (QC 11024): In an IVIVC object, the following error is encountered in the Prediction step if an external worksheet is used for Prediction Estimates and this mapping is completed before the mapping for Prediction Data:

Failed Validation 1 or more columns required for Name in Units 1 or more columns required for Preferred in Units

IVIVC tool can enter a broken state that cannot be fixed (QC 13266/CRM 142192): The IVIVC tool has restrictions on a column named Formulation. If this column is mapped to a Sort variable in InVivo Data (even just temporarily), it will cause the IVIVC object to break and not to be able to perform Prediction. If this happens, the only solution is to create a new IVIVC object, reapply all the settings, change any Sort input column named Formulation to another name, remap, and execute.

Objects using results of partially executed IVIVC object fail verification (QC 13307):

Even if Prediction is not being done, the **Target Formulation** may need to be set in order to use output from IVIVC downstream. Not setting the **Target Formulation** on the Prediction tab will prevent IVIVC from passing verification, which will prevent use of output in other objects. Selecting any value in the **Target Formulation** dropdown should get IVIVC to pass verification so that downstream objects will execute using the IVIVC results.

Cannot generate Levy plots (QC 13844): If Formulation is mapped to the InVitro Formulation, it can cause the Levy Plots to not be generated when requested. The workaround is to recreate the IVIVC object and not map a column named Formulation to the InVitro Formulation mapping.

Prediction and Validation Errors are different from Reference (QC 14353): If columns mapped to IVIVC time mappings (i.e., InVitro Time, InVivo Independent, and Prediction Time) have type Text, these columns will be sorted as text rather than numerically when performing Validation and Prediction, which will cause errors in the internal interpolation routine. Column mistyping could occur by importing time values specified by an equation in another tool. To work around this, check that numeric column types are mapped to the IVIVC time mappings and change the source columns to type Numeric, if needed.

Formulation data that is not mapped in the InVitro Formulation panel is still included in the output plots (QC 14874): The IVIVC toolkit creates IVIVC plots by default (Correlation overlay, Levy plot, and Fabs vs Fdiss). These plots are incorrectly including other formulations (e.g., Target) that are checked to not be included in the "InVitro Formulation" panel (i.e., set to None in mappings window). This could lead to a confusing interpretation of those plots because, in some cases, formulations displayed can be made using different technologies. (The IVIVC correlation model itself and any results from it do not include these other formulations if they are set to None.) As a workaround, the user can use the resulting worksheets and create plots after filtering the formulation that should not be included (e.g., for the Levy plot, filter unwanted data from the "Levy Plots.Tvivo vs Tvitro.Levy Plot Values" worksheet; for the Correlation overlay plot, filter unwanted data from the "Correlation.Abs vs Diss Data" worksheet). In addition, the user must specify the data to plot the line of unity.



IVIVC item is marked out-of-date even though all results are up-to-date (QC 15110): In cases where a prediction has been partially set up at one time and then removed, the IVIVC object will appear as being out-of-date, even though there is no Prediction output yet.

IVIVC Correlation, Val Cor Sim, and Pred Corr Sim Observed Y and Predicted Y vs X plots have incorrect Y-axis label (should be Fabs instead of Cp) (QC 17826/CRM 00169719): This issue only occurs if the buttons on the Options tabs of the IVIVC Workflow (Build Correlation, Validate Correlation, and Predict PK) are used. The workaround is to use the Execute icon in the Phoenix toolbar.

Phoenix Licensing Wizard

Clean.log is not time stamped (QC 49).

Uninstalling previous versions of the PLW on Windows 7 (SP1) fails to remove a registry entry, which causes the PLW installer to abort (QC 138): If you should encounter this issue, please contact Support for assistance. (Note that the registry entry is properly removed when PLW is uninstalled.)

Domain field should not be filled in when the machine does not reside on a domain (QC 190): Currently, the **Domain** field defaults to the localhost machine name when that machine does not reside on a domain. This field should be empty under such conditions.

User Management - In the Add User(s) dialog, entering incorrect information in the Domain Specification section and then using the Group pull-down causes an exception (QC 203): When this exception occurs, users can click Continue and return to the dialog where the domain information can be corrected.

Installing PLW 3.0 fails with "Runtime error in setup script" (QC 212): There is a dll that is missing from the PLW 3.0 installer package, causing the installation to fail with the message 'Runtime error in setup script, Source File: PharsightLicensingServer, Line Number: 131'. If you encounter this problem, please contact Certara Support.

Severe performance problem reported with PLW 3.0 user management that involved over 200 users (QC 213): When adding a new user to a Group, opening the Groups list and selecting a Group for the user, used multiple GB of memory and eventually PLW froze. A possible workaround is to edit the lsreserv list directly.

NLME

Engines

Simulation table and Simulation output do not match; there is a small difference at time of dose (QC 12109): When generating tables from model fitting runs, if reporting times in a table are simultaneous with dosing times, the table reporting may actually occur before the doses, even though they are at identical times. This is simply an artifact of simultaneity, for which there is no clearly correct behavior.

If a crash occurs while using MPI in NLME, other MPI processes may be left running, which can cause unpredictable behavior in NLME (QC 14279): If one of the MPI processes crashes, other MPI processes and the parent process mpiexec.exe may be left



running. This can leave NLME in an unpredictable state, such as being unusually slow to execute or being unable to execute another model. To fix the problem, mpiexec.exe must be stopped from the Task Manager. This will stop any other MPI processes. The problem should only occur with an engine crash, not when using the **Stop Execution** or **Stop Early** buttons, or when NLME ends with an error message.

Grid Computing

Jobs canceled from Phoenix (while they are in a wait state in the queue) remain in the queue (QC 17632, QC 17635): The number of available cores specified for a grid cannot exceed the total number of cores for which the grid is configured. This might cause the software to ask for more cores to do the computation than are available and result in the job getting stuck in the queue.

NLME license failure on grid (QC 17982): When a job is sent from a Windows client to a remote host, NLME cannot generate the model and start due to time out of sync. The only way to start the remote job is to use the License Server. For now, the time limits of a valid license are extended and time out of sync does not inflate the run. Moreover, in the case of troubles with a license on a remote host, put a license file on that host and create an environment variable:

export PhoenixLicenseFile=[path_and_name_of_license_file]

NLME freezes on the grid with bootstrap run mode (QC 17983): The only known workaround is to not use grids for bootstrap runs.

Model Comparer

Comparison Result cannot be loaded into an R object, but works directly in R (QC 13965): When using the R object for accessing the Model Comparer results for NLME or NONMEM, the default .csv file generated for importing into R will not work. The columns beginning with # are preventing the default .csv file from loading into R. The workaround is to not have these output columns generated in the Model Comparer or explicitly state the columns to import with acceptable names via the commenting mechanism for mapping in the R tool. For example, to import all the columns generated by the Model Comparer into an R script, use a script similar to the following and map the columns:

```
attach(compare.df) #WNL_IN Hide Compare Name Sort Method
Description Lineage LogLik -2(LL) AIC BIC -2(LL)Delta AICDelta
BICDelta NumParms NumObs NumSubj pvalue
```

Incorrect identification of models (QC 17364): If multiple Phoenix Model objects have the same names in different workflows, when the Model Comparer is used, all models with the same names will be used in the comparison. Even if the models with duplicated names are not checked, the checkboxes will be checked at execution. The workarounds are to not use the same names for different model objects, or to check the **Hide** checkboxes next to the model objects that are not wanted for the comparison.



User Interface

In Phoenix 8.2, opening a model simulation project with zeroed random effects leads to unusable NLME object (QC 17948): In Phoenix 8.1 and earlier zeroed random effects were treated as "1" in built-in and graphical mode. In Phoenix 8.2, this behavior was changed such that a diagonal random effect found to be "0" is excluded from any estimation/simulation (zero rule). An issue has now been reported where a user zeroed random effects and then excluded them by freezing the fixed effects (which is an unusual method). Since the random effects are excluded when fixed effects are frozen in built-in mode but the random effects are still present inside the structure, NLME tries to exclude them again according to the zero rule. There is no workaround available for existing projects, as the NLME objects are unusable due to an infinity check of disabled zeroed random effects.

In Phoenix 8.2, column existence is not checked in the Dosing worksheet when a column is selected in ADDL input options (QC 17949): The user can select a column (from either the Main or Dosing worksheets) or enter a constant for an amount of drug in ADDL input options. In Phoenix 8.2, when an amount column is specified, only the main worksheet is being checked for the existence of that column, when it should also be checking the Dosing worksheet.

Maximum number of Sort and ID columns is not checked in the user interface, which can lead to columns missing in the output (QC 7905): Although the total number of mapped Sort and ID columns cannot be more than 5, this is not checked in the UI, and more than five can be mapped, giving incorrect results.

Random Effects setup can change upon model update (QC 8140): The order of the random effects and associated initial estimates can change if the user selects different model parameterization after the random effects have been setup. The order of the random effects is not remembered if the structural model is changed. Caution is advised in double-checking the random effects entries after a model is changed.

If Parameter.Mappings is forgotten when using a worksheet for initial estimates, the values on the bottom tab are used without a warning (QC 8529).

When going from a PK/Emax or PK/Indirect Built-in Model to a Graphical model, if Freeze PK is selected for Built-in, the parameters do not stay frozen in the Graphical model (QC 8543): The workaround is to select the boxes in the Graphical Editor to freeze the individual PK parameters.

Verification does not fail if values for Dosepoint, Amount, Delta Time, and Rate are missing or not valid (QC 8805): If SS or ADDL input is specified, and if input column names are given, the column names are case-sensitive and must match the column names in the input data set. If they do not match, there is no warning, and the result can be undefined behavior, as NA values are used.

Numbers typed in text fields with commas for decimals breaks PML (QC 8925): Where numbers can be entered in data fields, generally either comma (,) or period (.) can be used as a decimal point. (It will be converted to period.) However, there are fields where sequences of numbers, separated by commas, can be entered, such as the sequence of times in a table



specification. In those fields, the comma character cannot be used as a decimal point, because it acts as a delimiter between numbers.

NLME cannot run when imported data is in a format that has commas (QC 9552): In Phoenix, the default display format is not one that uses commas as decimal points. In general, US format numbers should be used in worksheets. That is, using the period character (.) as a decimal point, and no thousands separator.

Changing the PML code requires rebuilding of the dosing sheet (QC 11306): When changing a model from individual to population or vice versa, and changing between **Sort** and **ID** mapping, if there is a built-in dosing worksheet, care must be taken to rebuild the worksheet. Otherwise, it retains the prior mapping, causing a verification error when attempting to run the model.

When running in graphical mode, sequence blocks cannot be entered in the procedure block (QC 11933).

A fixed effect term involving a categorical covariate is not recognized in the secondary parameter definition for a Built-in model (QC 14223): Secondary parameters depending on categorical covariate effects do not work for Built-in or Graphical models. The user interface does not accept them. However, they do work for Textual models. For example, if "sex" is a categorical covariate having values 0 and 1, and it modifies column "V," then there is a fixed effect named "dVdsex1." This fixed effect is not recognized in the secondary parameter definition; however it will work in a Textual model.

Parsing of a model fails to remove fixef parameters if they are deleted from the Textual model (QC 14256): When doing a Profile of a Textual model, it is possible to get extra copies of fixed effects appearing in the "Fixed Eff" list. There does not seem to be a way to recover from this, other than returning to a Built-in or Graphical model.

When "Stop Early" is executed, the "Warnings and Errors" output does not clearly state that the execution was stopped early (QC 14380): If the user reviews this output later, the user should check the Overall output to see the Return Code of 6, which will show that the fitting was not allowed to run to convergence.

Issues scrolling and expanding covariate addition panel in the Structural tab (QC 17805): The scrollbar on the right side for selecting the covariates from unused list does not appear, even after changing the settings to small text and maximum resolution. In addition, expanding the lower pagen does not expand the covariate panel, which could lead to mismappings.

Validation Suite

Validation Suite installation check for Phoenix 8.2.2 fails after Integral Plugin 23.4.1 is installed (INTG-4276): An update to Phoenix by the "Integral 23.4.1 for Phoenix 8.x" installer causes one Validation Suite test ("PHX Installation") to fail in the WinNonlin and NLME test sets. The update by the installer enables Phoenix to open Word files uploaded from Integral. The failure is observed if the Validation Suite is run after installing Integral Plugin 23.4.1 and occurs because the updated file has a different checksum than the Validation Suite expects. There is no issue if the user upgrades to Phoenix 8.4.



WinNonlin

Bioequivalence

Table using Bioequivalence output as the source is not updated correctly when the Bioequivalence object is re-executed (QC 7724): When re-executing a Bioequivalence object, new instances of the Ratio output worksheets are created, rather than simply updating the existing instances. If another object, such as a table, is linked to the original worksheets, after re-execution of the Bioequivalence object, the downstream object will need to be re-linked to the new result worksheets.

In a Bioequivalence object, any of the following actions will reset the model to the default model: copy/paste, move-to-new-workflow, using a template, or map source (QC 9641).

Changing a column name after setting up Bioequivalence leaves the object in a state where it cannot be re-executed (QC 13010): If the name of a classification variable is changed, the prior column name still appears in the Classification Variables field and cannot be removed, and this causes the execution to fail. The workaround is to finalize the column names before sending data to the Bioequivalence or LinMix objects.

LinMix

Loss of accuracy in LinMix confidence intervals for small sample sizes (NDF < 5) (QC 4698): The CIs in Linear Mixed Effects Modeling use an approximation for the t-value which is very accurate when the degrees of freedom is at least five, but loses accuracy as the degrees of freedom approaches one. The degrees of freedom are the number of observations minus the number of parameters being estimated (not counting parameters that are within the singularity tolerance, i.e., nearly completely correlated).

NCA

Best-fit Lambda Z range values are not reported consistently in the output when multiple points are excluded at the start of the data or if there are zero-valued points at the start of the range (QC 11227): When excluded or zero-valued points are at the beginning of the Lambda_z range, Lambda_z_lower is reported in some output as the earlier time value, which includes the unused initial points, yet in other output, it is reported as the first point that is used. For example, if values range from 0.8 to 2 and points before 1.4 are excluded, some of the output reports the Lambda_z range as (0.8, 2), whereas other output lists the range as (1.4, 2).

Unable to construct internal source for NCA dosing (QC 17933): NCA object settings created in Phoenix 8.1 or older versions are not fully supported in 8.2. This may result in the error "Unable to construct internal source" when setting up the dosing for NCA, if object settings are applied or if a default object settings file is in use. The only workaround is for the user to create the object settings from scratch in Phoenix 8.2.

Incorrect Dose or Tau value could be used in NCA user-defined parameter calculation (QC 17985): In Phoenix 8.0 or later, a user-defined parameter can be defined in NCA and dosing variables such as Dose or Tau can be used in its expression. However, Phoenix may



use an incorrect value for Dose or Tau in the calculation. The only way to work around this issue is by adding a Dose or Tau column to the Observation worksheet and map the column to Carry in the Main Mappings panel.

NCA omits entire profile from execution with incorrect dosing warning (QC 18110):

One or more profiles may be incorrectly missing from NCA results, with a warning message "Dosing Type not Defined." The profile(s) will not have been executed in the NCA core output and will not have any results, other than the warning in the "Warnings and Errors" results tab. In addition, the Sorts and Subject identifier appear in the Final Parameters result, but with no values for final parameters. Note that no incorrect output is generated and the core output always contains the full generated results.

Scope: This issue can appear in Phoenix 7.0 - 8.3.3, when using an external Dosing worksheet and the Dosing Defined option, with multiple doing routes in the same dataset.

Workaround: The workaround is to re-execute NCA, which will likely fix the issue. However, the results should be checked for completeness of all profiles, e.g., in both the number of plots generated and that the table created from Final Parameters has the N corresponding to the expected number of profiles.

Proposed Fix: This issue will be addressed in a November 2021 point release for Phoenix versions 8.1 - 8.3, because Certara supports the current release plus the two prior releases.

NCA fails to execute and gives a pop-up message "one or more errors" or unhandled error (QC 18111): In some cases, NCA may fail to execute with one or more non-specific errors.

Scope: This issue can appear in Phoenix 7.0 - 8.3.3, however, it will be obvious to the user that the NCA did not execute.

Workaround: The workaround is to re-execute NCA, which will likely fix the issue.

Proposed Fix: This issue will be addressed in a November 2021 point release for Phoenix versions 8.1 - 8.3, because Certara supports the current release plus the two prior releases.

Nonparametric Superposition

NPS quits trying to compute Lambda Z if the last three points fail to compute Lambda Z, which is different than NCA (QC 13804): NCA and NPS behave differently in computing Lambda Z for a specific case and they should be the same. As NCA tries to compute Lambda Z, if the last 3 points fail to compute a Lambda Z, it will continue checking further back in the dataset to see if a larger group of points ending with the last point will yield a valid Lambda Z. NPS appears to quit if the last three points fail to compute Lambda Z (such as the last three points going uphill). This defect has existed in all versions of Phoenix. The workaround is to execute NCA on the data using the default settings, and then use the NCA Slopes result and map it to the Terminal Phase setup in the NPS object.

NPS and NCA Best-Fit Lambda Z calculations differ (QC 14394): In a case where input data was given to significant precision (eight significant digits or more), the Best Fit



algorithms in NCA and in NPS (Nonparametric Superposition) were not generating exactly the same Lambda Z values, although the results were very similar.

WinNonlin Classic Modeling

Inconsistent behavior is possible when using external worksheets for a PK Model object (QC 7122): When using a PK operational object, external worksheets for stripping dose, units and initial estimates can be accessed in different ways. The differences will occur if there is more than one row of information on these external worksheets that correspond to one or more individual profiles of data of the Main input worksheet.

In such cases, the stripping dose for PK models will be determined as the first value found on that external worksheet whereas the units and initial parameters will be based on the last row found on those external worksheets (for any given profile). To avoid any confusion stemming from these differences it is suggested that external worksheets maintain a one-to-one row-based correspondence to the Main input profiles whenever possible.

Initial Estimates grid for Dissolution models does not accept new initial values after changing the Fixed option to Estimated (QC 9741): For the WinNonlin Generated Initial Parameter Values option with Dissolution models, to avoid getting pop-up warnings that "WinNonlin will determine initial estimate" when using the **Initial Estimates** internal worksheet setup, the user should delete the initial values and change the menu option from **Estimated** to **Fixed** before entering the initial estimate.

Once the dropdown is changed from **Fixed** to **Estimated**, the user will not be able to delete the initial value entered. However, it will not be used, and WinNonlin will estimate the initial value as requested.